(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization International Bureau





(43) International Publication Date 18 December 2003 (18.12.2003)

PCT

(10) International Publication Number WO 03/104470 A2

C12N 15/90, (51) International Patent Classification?: 9/12, 15/11, 15/79, A61K 48/00

(21) International Application Number: PCT/CA03/00850

(22) International Filing Date: 5 June 2003 (05.06.2003)

(25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data: 60/386,640

US 5 June 2002 (05.06.2002)

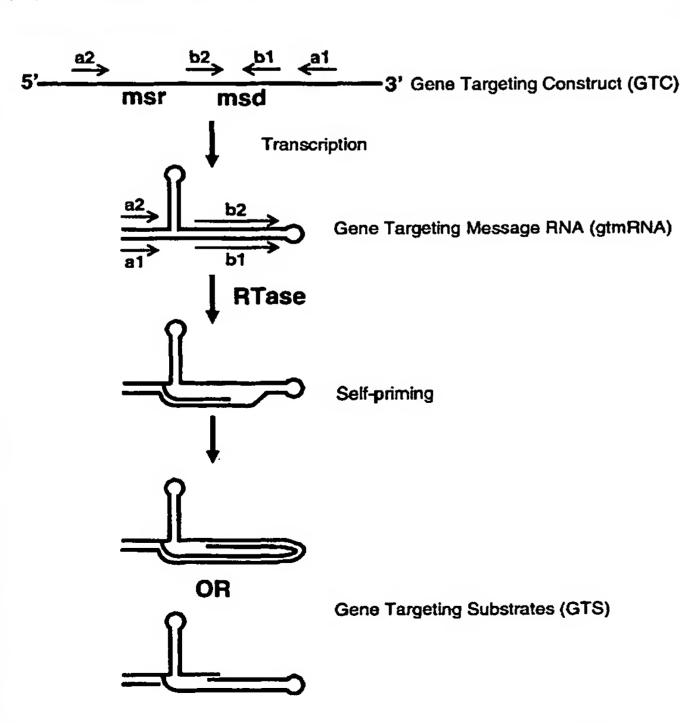
- (71) Applicant (for all designated States except US): HER MAJESTY IN RIGHT OF CANADA As represented By the MINISTER OF AGRICULTURE AND AGRI-FOOD CANADA [CA/CA]; Agriculture and Agri-food Canada, Saskatchewan Research Centre, 107 Science Place, Saskatoon, Saskatchewan S7N 0X2 (CA).
 - (72) Inventors; and
 - (75) Inventors/Applicants (for US only): ROZWADOWSKI,

Kevin, L. [CA/CA]; 86 Harvard Crescent, Saskatoon, Saskatchewan S7N 3R1 (CA). LYDIATE, Derek, J. [GB/CA]; 101 Albert Street, Saskatoon, Saskatchewan S7N 1E6 (CA).

- (74) Agents: Kingwell, Brian, G. et al.; Smart and Biggar Box 11560, Vancouve 650 West Georgia Street, Suite 2200 Vancouver, British Columbia V6B 4N8 (CA).
- (81) Designated States (national): AE, AG, AL, AM, AT (utility model), AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ (utility model), CZ, DE (utility model), DE, DK (utility model), DK, DM, DZ, EC, EE (utility model), EE, ES, FI (utility model), FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NI, NO, NZ, OM, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK (utility model), SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.
- (84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE,

[Continued on next page]

(54) Title: RETRONS FOR GENE TARGETING



(57) Abstract: The invention provides methods and nucleic acid constructs that may be used to modify a nucleic acid of interest at a target locus within the genome of a host. In some aspects, the invention contemplates producing in vivo a gene targeting substrate (GTS), which may be comprised of both DNA and RNA components. The gene targeting substrate may comprise a gene targetig nucleotide sequence (GTNS), which is homologous to the target locus, but comprises a sequence modification compared to the target locus. The gene targeting substrate may be produced by reverse transcription of a gene targeting message RNA (gtmRNA). The gene targeting message RNA may be folded for self-priming for reverse transcription by a reverse transcriptase. The gene targeting message RNA may in turn be the product of transcription of a gene targeting construct (GTC) encoding the gene targeting message RNA. The gene targeting construct may for example be a DNA sequence integrated into the genome of the host, or integrated into an extrachromosomal element. Following expression of the gene targeting systems of the invention, hosts may for example be selected having genomic modifications at a target locus that correspond to the sequence modification present on the gene targeting nucleotide sequence.

In some embodiments, the structure of retrons may be adapted for use in the gene targeting systems of the invention.



(19) World Intellectual Property **Organization** International Bureau





(43) International Publication Date 18 December 2003 (18.12.2003)

PCT

(10) International Publication Number WO 2003/104470 A3

(51) International Patent Classification⁷: 9/12, 15/11, 15/79, A61K 48/00

C12N 15/90,

(21) International Application Number:

PCT/CA2003/000850

(22) International Filing Date:

5 June 2003 (05.06.2003)

(25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data: 60/386,640

US 5 June 2002 (05.06.2002)

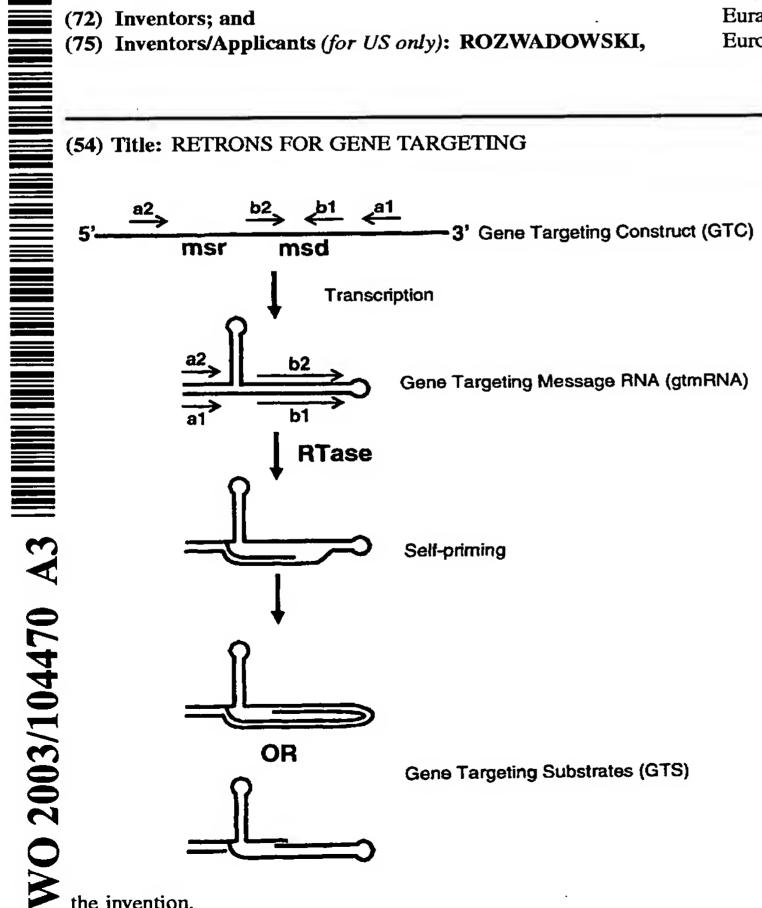
- (71) Applicant (for all designated States except US): HER MAJESTY IN RIGHT OF CANADA As represented By the MINISTER OF AGRICULTURE AND AGRI-FOOD CANADA [CA/CA]; Agriculture and Agri-food Canada, Saskatchewan Research Centre, 107 Science Place, Saskatoon, Saskatchewan S7N 0X2 (CA).
- (72) Inventors; and
- (75) Inventors/Applicants (for US only): ROZWADOWSKI,

Kevin, L. [CA/CA]; 86 Harvard Crescent, Saskatoon, Saskatchewan S7N 3R1 (CA). LYDIATE, Derek, J. [GB/CA]; 101 Albert Street, Saskatoon, Saskatchewan S7N 1E6 (CA).

- (74) Agents: Kingwell, Brian, G. et al.; Smart and Biggar Box 11560, Vancouve 650 West Georgia Street, Suite 2200 Vancouver, British Columbia V6B 4N8 (CA).
- (81) Designated States (national): AE, AG, AL, AM, AT (utility model), AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ (utility model), CZ, DE (utility model), DE, DK (utility model), DK, DM, DZ, EC, EE (utility model), EE, ES, FI (utility model), FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC. LK. LR. LS. LT. LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NI, NO, NZ, OM, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK (utility model), SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.
- (84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE,

[Continued on next page]

(54) Title: RETRONS FOR GENE TARGETING



(57) Abstract: The invention provides methods and nucleic acid constructs that may be used to modify a nucleic acid of interest at a target locus within the genome of a host. In some aspects, the invention contemplates producing in vivo a gene targeting substrate (GTS), which may be comprised of both DNA and RNA components. The gene targeting substrate may comprise a gene targetig nucleotide sequence (GTNS), which is homologous to the target locus, but comprises a sequence modification compared to the target locus. The gene targeting substrate may be produced by reverse transcription of a gene targeting message RNA (gtmRNA). The gene targeting message RNA may be folded for self-priming for reverse transcription by a reverse transcriptase. The gene targeting message RNA may in turn be the product of transcription of a gene targeting construct (GTC) encoding the gene targeting message RNA. The gene targeting construct may for example be a DNA sequence integrated into the genome of the host, or integrated into an extrachromosomal element. Following expression of the gene targeting systems of the invention, hosts may for example be selected having genomic modifications at a target locus that correspond to the sequence modification present on the gene targeting nucleotide sequence. In some embodiments, the structure of retrons may be adapted for use in the gene targeting systems of

the invention.

ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Published:

— with international search report

(88) Date of publication of the international search report: 10 June 2004

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

Internation Application No P A 03/00850

A. CLASSIFICATION OF SUBJECT MATTER
IPC 7 C12N15/90 C12N9/12 A61K48/00 C12N15/79 C12N15/11 According to International Patent Classification (IPC) or to both national classification and IPC B. FIELDS SEARCHED Minimum documentation searched (classification system followed by classification symbols) C12N IPC 7 Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Electronic data base consulted during the international search (name of data base and, where practical, search terms used) EPO-Internal, MEDLINE, BIOSIS C. DOCUMENTS CONSIDERED TO BE RELEVANT Relevant to claim No. Citation of document, with indication, where appropriate, of the relevant passages Category ° 1-5,22, DATTA H J ET AL: "Intracellular X 23 generation of single-stranded DNA for chromosomal triplex formation and induced recombination." NUCLEIC ACIDS RESEARCH. ENGLAND 15 DEC 2001, vol. 29, no. 24, 15 December 2001 (2001-12-15), pages 5140-5147, XP002253387 ISSN: 1362-4962 page 5140, right-hand column, paragraph 3; figures 1,3 page 5141, left-hand column, paragraph 1 page 5144, right-hand column, last paragraph - page 5146, right-hand column, paragraph 1 6,8-21the whole document Patent family members are listed in annex. Further documents are listed in the continuation of box C. "T" later document published after the international filing date Special categories of cited documents: or priority date and not in conflict with the application but cited to understand the principle or theory underlying the "A" document defining the general state of the art which is not considered to be of particular relevance invention "X" document of particular relevance; the claimed invention *E* earlier document but published on or after the international cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone filing date "L" document which may throw doubts on priority claim(s) or *Y* document of particular relevance; the claimed invention which is cited to establish the publication date of another cannot be considered to involve an inventive step when the citation or other special reason (as specified) document is combined with one or more other such docu-O document referring to an oral disclosure, use, exhibition or ments, such combination being obvious to a person skilled other means in the art. *P* document published prior to the international filing date but *&* document member of the same patent family later than the priority date claimed Date of mailing of the international search report Date of the actual completion of the international search 2 0, 01, 04 10 September 2003 **Authorized officer** Name and mailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2

Rutz, B

NL - 2280 HV Rijswijk

Fax: (+31-70) 340-3016

Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,

PO 1 03/00850

| 0.10=41= | ation) DOCUMENTS CONSIDERED TO BE RELEVANT | Relevant to claim No. | |
|------------|---|-----------------------|--|
| Category ° | Citation of document, with indication, where appropriate, of the relevant passages | | |
| Y | J-R MAO ET AL: "Gene regulation by antisense DNA produced in vivo" JOURNAL OF BIOLOGICAL CHEMISTRY, AMERICAN SOCIETY OF BIOLOGICAL CHEMISTS, BALTIMORE, MD, US, vol. 270, no. 34, 25 August 1995 (1995-08-25), pages 19684-19687, XP002132578 ISSN: 0021-9258 page 19686, right-hand column, last paragraph - page 19687, left-hand column, paragraph 1; figure 1 | 6,8,9, 11-21 | |
| Y | MIROCHNITCHENKO O ET AL: "Production of single-stranded DNA in mammalian cells by means of a bacterial retron" JOURNAL OF BIOLOGICAL CHEMISTRY, AMERICAN SOCIETY OF BIOLOGICAL CHEMISTS, BALTIMORE, MD, US, vol. 269, no. 4, 28 January 1994 (1994-01-28), pages 2380-2383, XP002132577 ISSN: 0021-9258 page 2382, right-hand column, line 27 - line 31 | | |
| A | RUSSELL D W ET AL: "Human gene targeting by viral vectors." NATURE GENETICS. UNITED STATES APR 1998, vol. 18, no. 4, April 1998 (1998-04), pages 325-330, XP001105541 ISSN: 1061-4036 page 328, right-hand column, paragraph 2 - page 329, left-hand column, last paragraph; figure 2 | 1-23 | |
| A | MIYATA S ET AL: "In vivo production of a stable single-stranded cDNA in Saccharomyces cerevisiae by means of a bacterial retron." PROCEEDINGS OF THE NATIONAL ACADEMY OF SCIENCES OF THE UNITED STATES OF AMERICA. UNITED STATES 1 JUL 1992, vol. 89, no. 13, 1 July 1992 (1992-07-01), pages 5735-5739, XP002253389 ISSN: 0027-8424 the whole document | 1-23 | |
| A | EP 0 532 380 A (UNIV NEW JERSEY MED) 17 March 1993 (1993-03-17) page 9, line 20 - line 26; figure 10; example 1 -/ | 1-23 | |

International Application No PC A 03/00850

| | | Pt A 03 | |
|-------------|--|---------|-----------------------|
| C.(Continua | ation) DOCUMENTS CONSIDERED TO BE RELEVANT | | |
| Category ° | Citation of document, with indication, where appropriate, of the relevant passages | | Relevant to claim No. |
| A | INOUYE M ET AL: "In vivo production of oligodeoxyribonucleotides of specific sequences: application to antisense DNA." CIBA FOUNDATION SYMPOSIUM. NETHERLANDS 1997, vol. 209, 1997, pages 224-233; discussion 233 - 234, XP001154200 ISSN: 0300-5208 | | |
| Α | FUJIOKA K ET AL: "Targeted recombination with single-stranded DNA vectors in mammalian cells." NUCLEIC ACIDS RESEARCH. ENGLAND 11 FEB 1993, vol. 21, no. 3, 11 February 1993 (1993-02-11), pages 407-412, XP001155003 | | |
| P,A | ISSN: 0305-1048 KREN BETSY T ET AL: "The application of DNA repair vectors to gene therapy." CURRENT OPINION IN BIOTECHNOLOGY, vol. 13, no. 5, October 2002 (2002-10), pages 473-481, XP002253393 ISSN: 0958-1669 the whole document | | 1-23 |
| P,A | TAUBES GARY: "Gene therapy. The strange case of chimeraplasty." SCIENCE. UNITED STATES 13 DEC 2002, vol. 298, no. 5601, 13 December 2002 (2002-12-13), pages 2116-2120, XP002253394 ISSN: 1095-9203 the whole document | | 1-23 |
| | | | |

International application No. PCT/CA 03/00850

| Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet) |
|--|
| This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons: |
| 1. X Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely: Although claims 1-4, 18-20 are (inter alia) directed to a method of treatment of the human/animal body, the search has been carried out and based on the |
| alleged effects of the compound/composition. Claims Nos.: because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically: |
| Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a). |
| Box II Observations where unity of invention is lacking (Continuation of Item 2 of first sheet) |
| This international Searching Authority found multiple inventions in this international application, as follows: |
| see additional sheet |
| 1. As all required additional search fees were timely paid by the applicant, this international Search Report covers all searchable claims. |
| 2. As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee. |
| 3. As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.: |
| 4. No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.: 1-6, 8-23 |
| Remark on Protest The additional search fees were accompanied by the applicant's protest. No protest accompanied the payment of additional search fees. |

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. claims: 1-6,8-23

method of modifying a target nucleic acid within a genome of a host involving a reverse transcriptase, gene targeting constructs for said method, vectors, hosts

2. claim: 7

reverse transcriptase having a nuclear localization signal sequence

| Internati | onal Application No | |
|-----------|---------------------|--|
| PQ | A 03/00850 | |

| Patent document cited in search report | | Publication date | | Patent family member(s) | Publication date |
|--|---|------------------|----|-------------------------|------------------|
| EP 0532380 | A | 17-03-1993 | US | 5436141 A | 25-07-1995 |
| | | | CA | 2075515 A1 | 01-03-1993 |
| | | | DE | 69228098 D1 | 18-02-1999 |
| | | | DE | 69228098 T2 | 01-07-1999 |
| | | | EP | 0532380 A2 | 17-03-1993 |
| | | | JP | 3140206 B2 | 05-03-2001 |
| | | | JP | 6217776 A | 09-08-1994 |
| | | | US | 5849563 A | 15-12-1998 |
| | | | US | 2002048802 A1 | 25-04-2002 |
| | | | US | 5434070 A | 18-07-1995 |

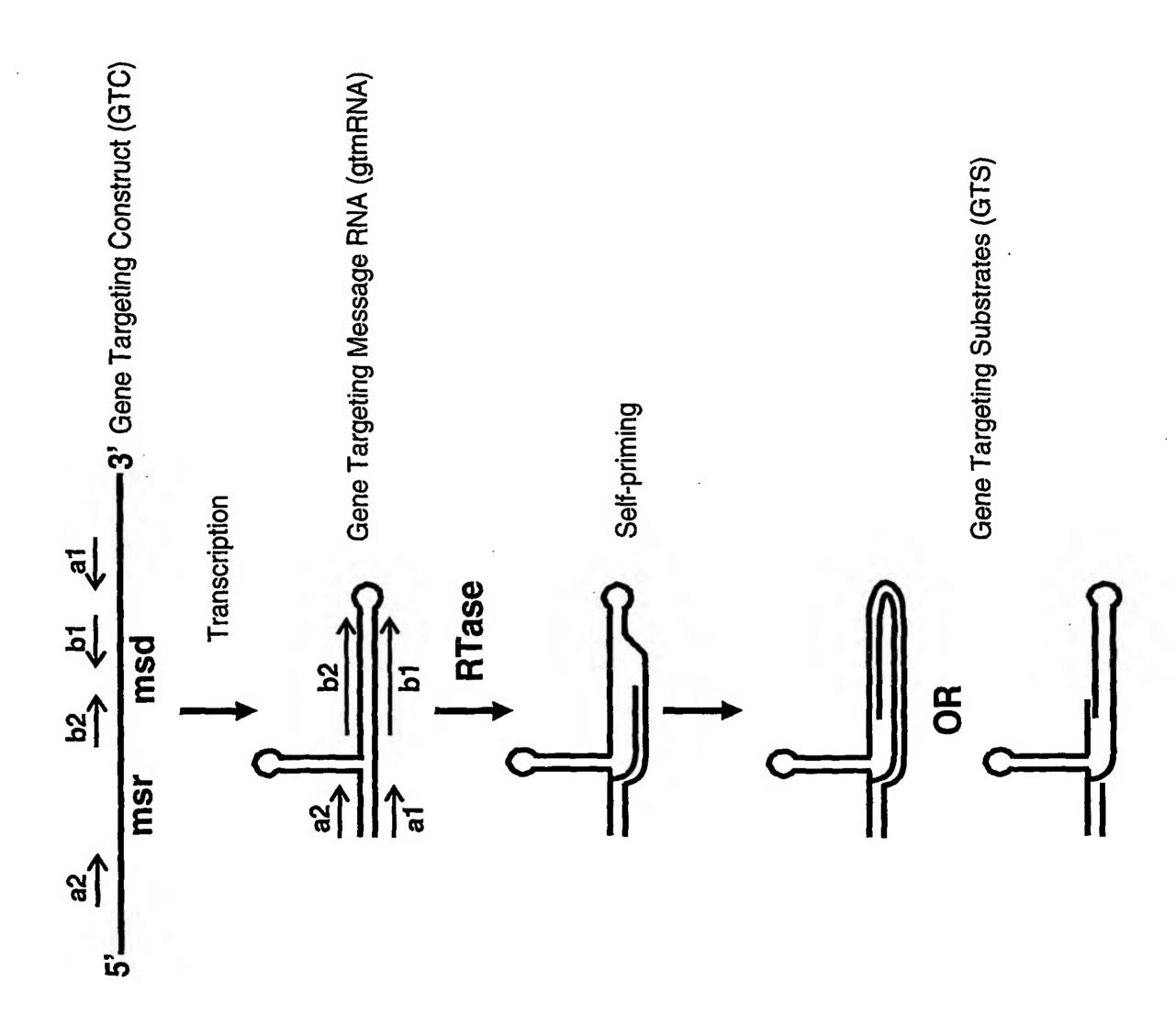
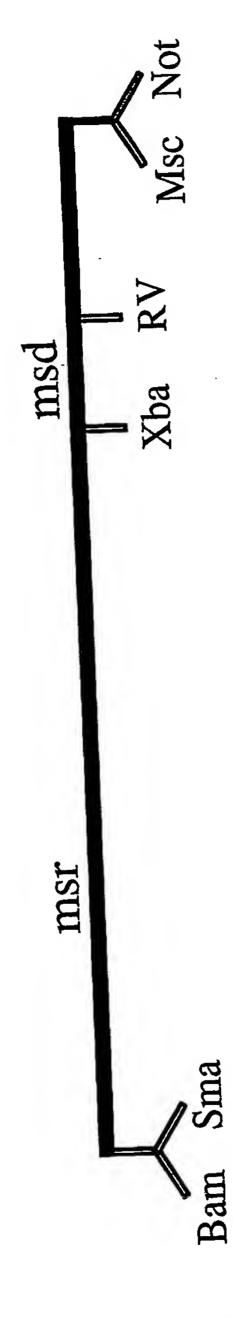
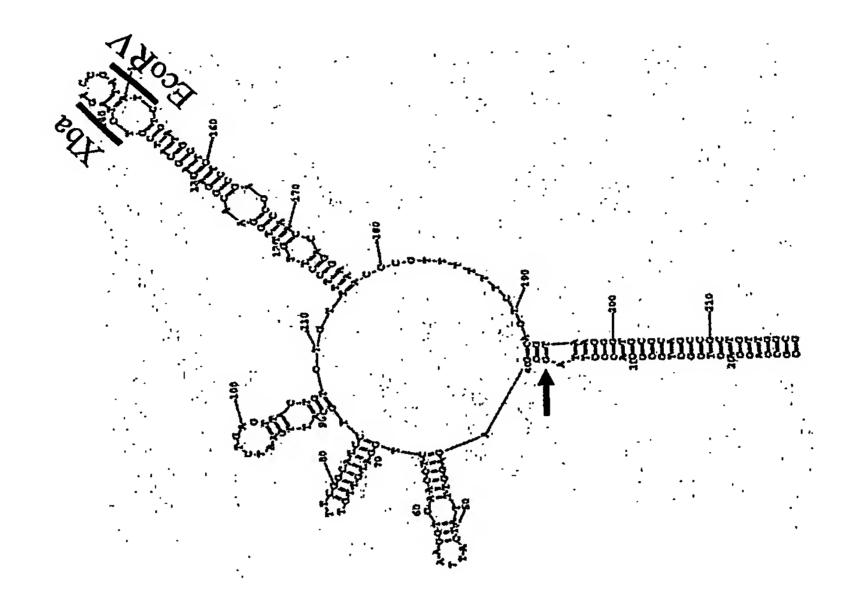


Figure 1



3/15



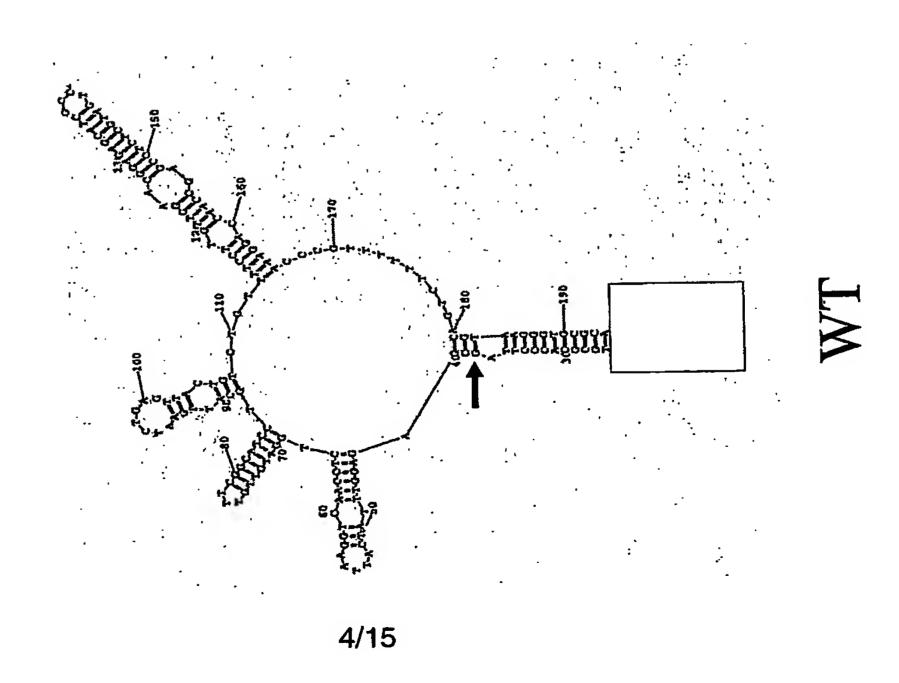
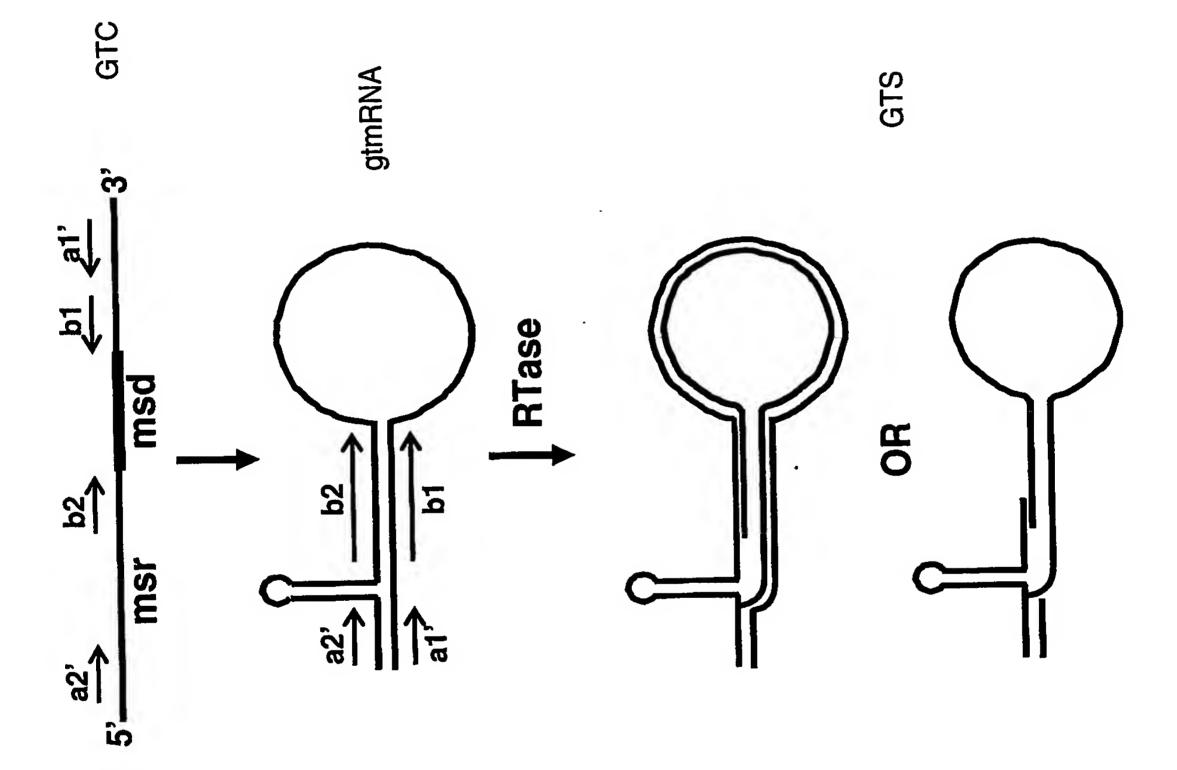
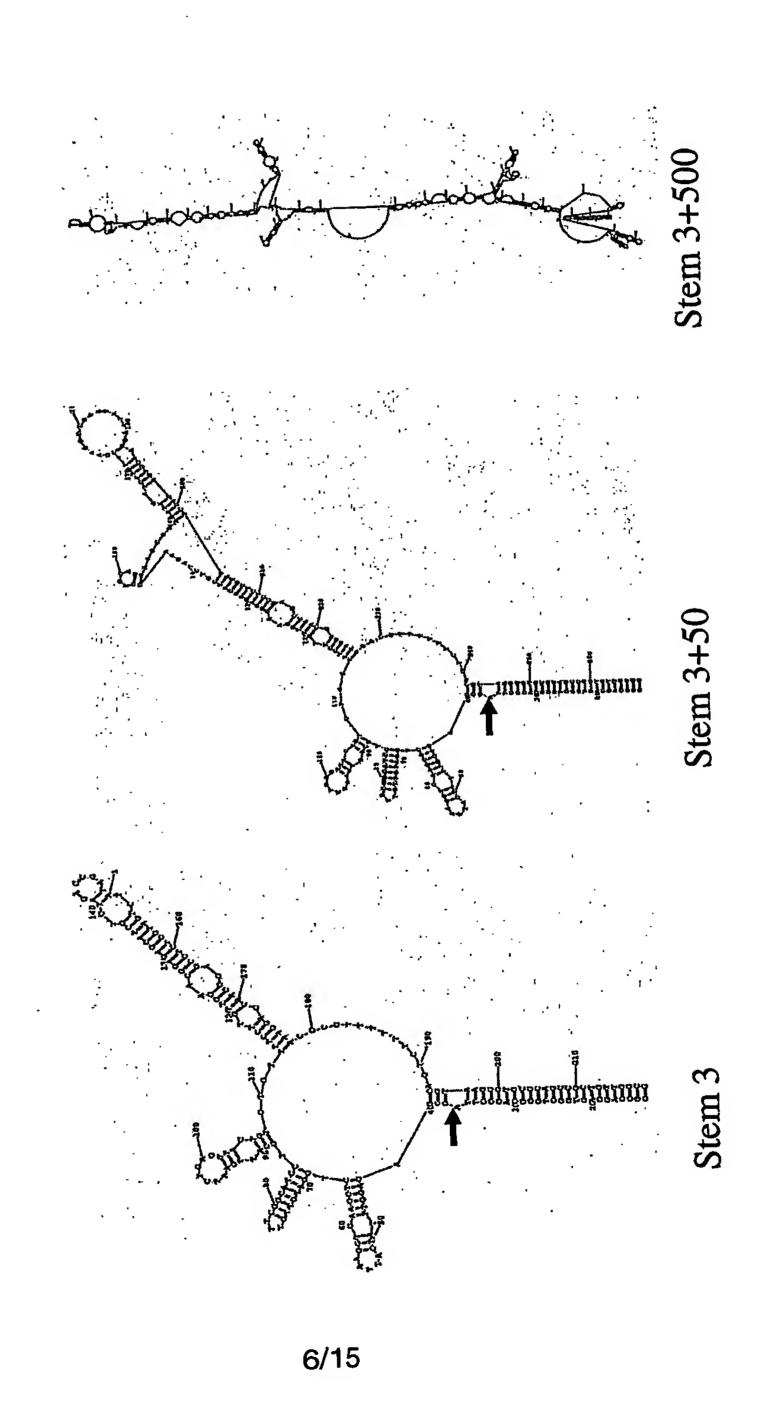


Figure 3b



igure 4





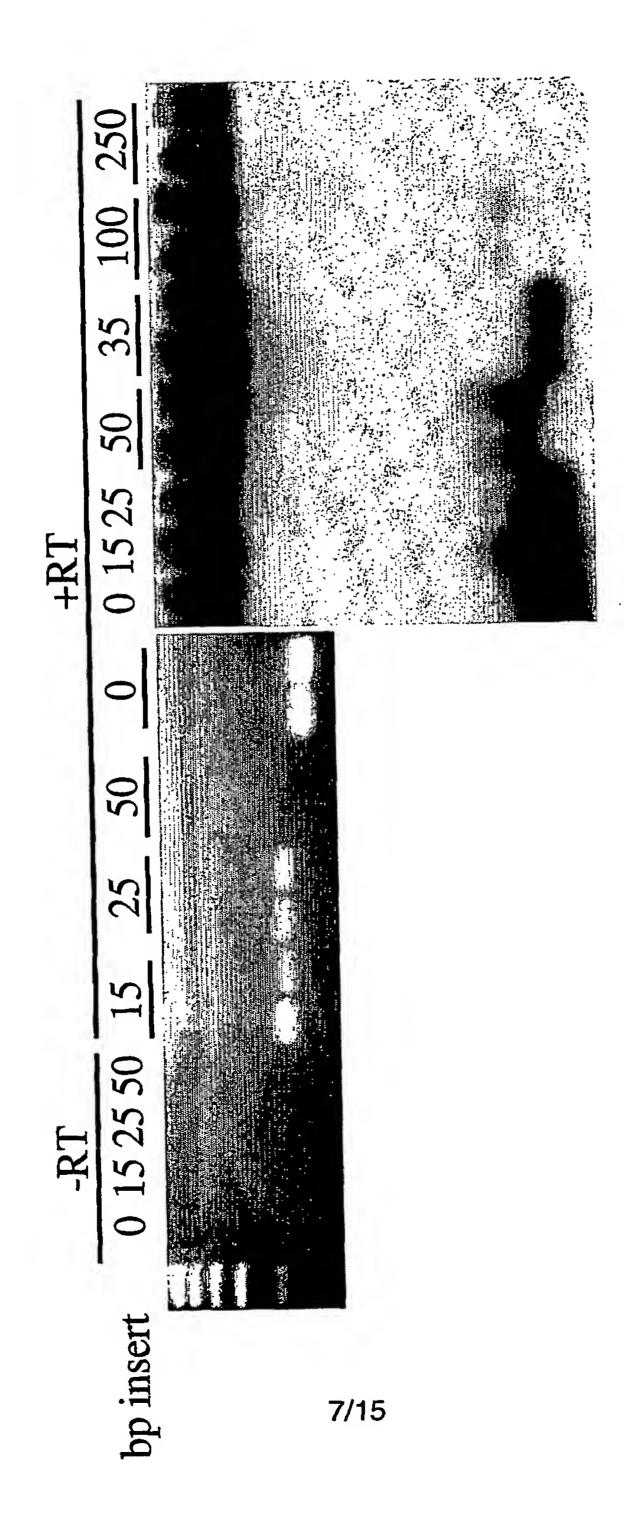
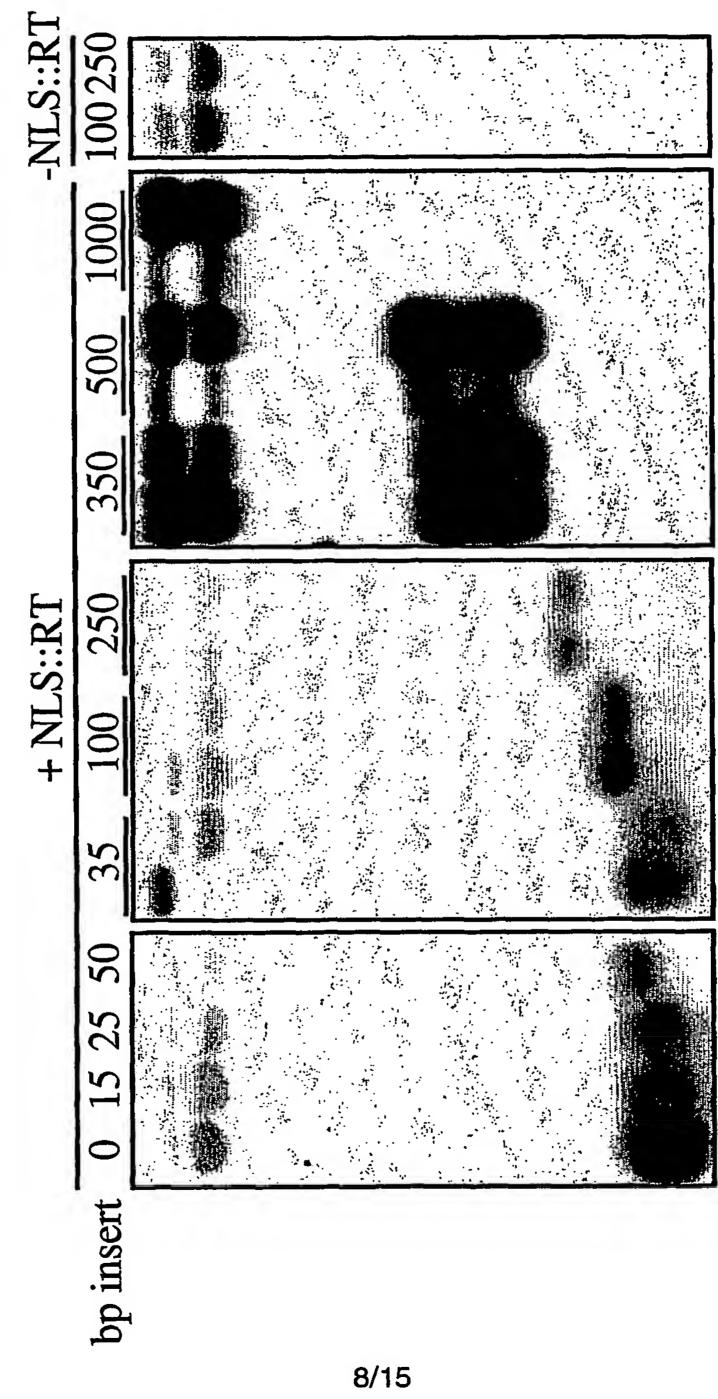


Figure 6



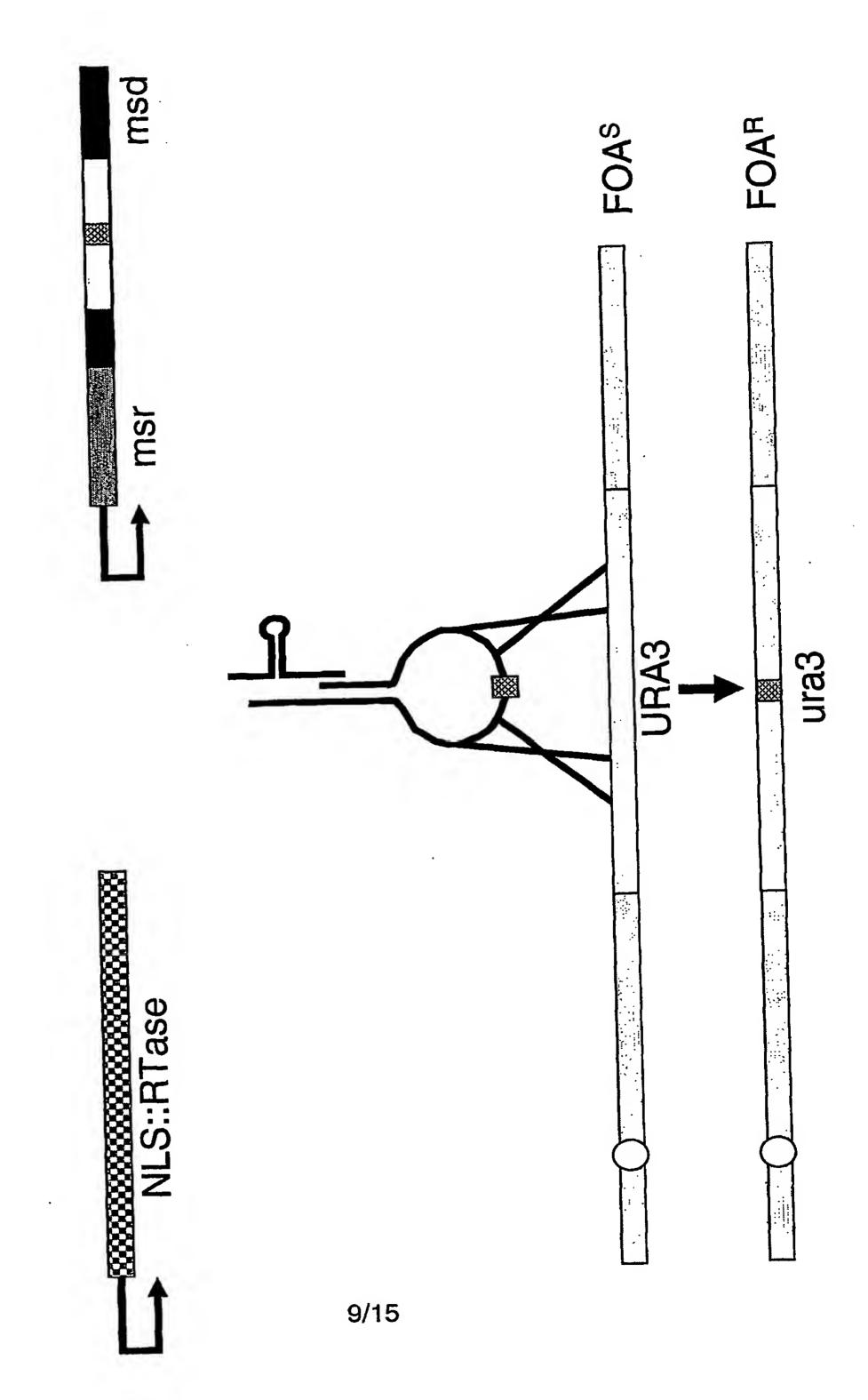


Figure 8

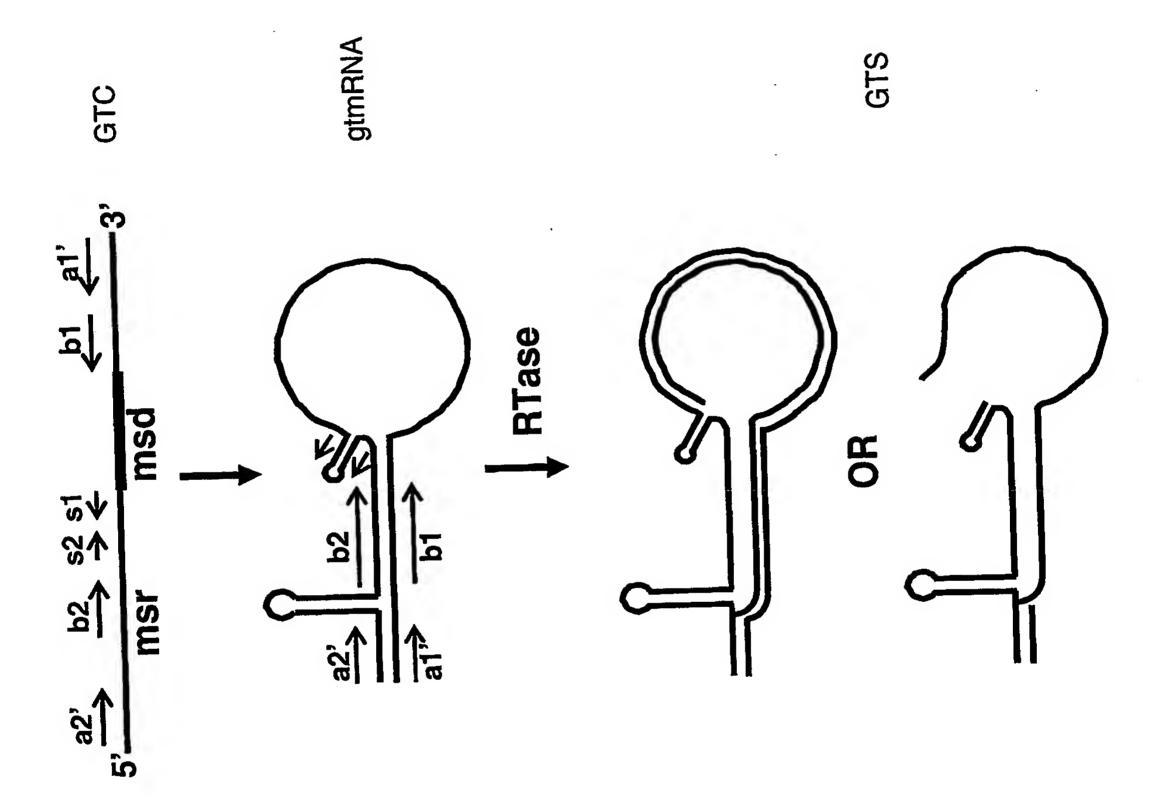


Figure 9

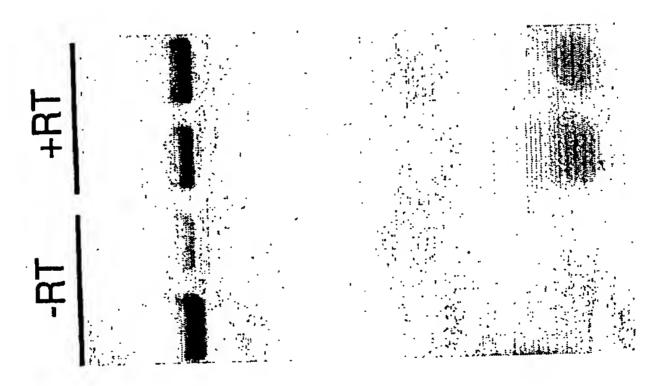


Figure 10

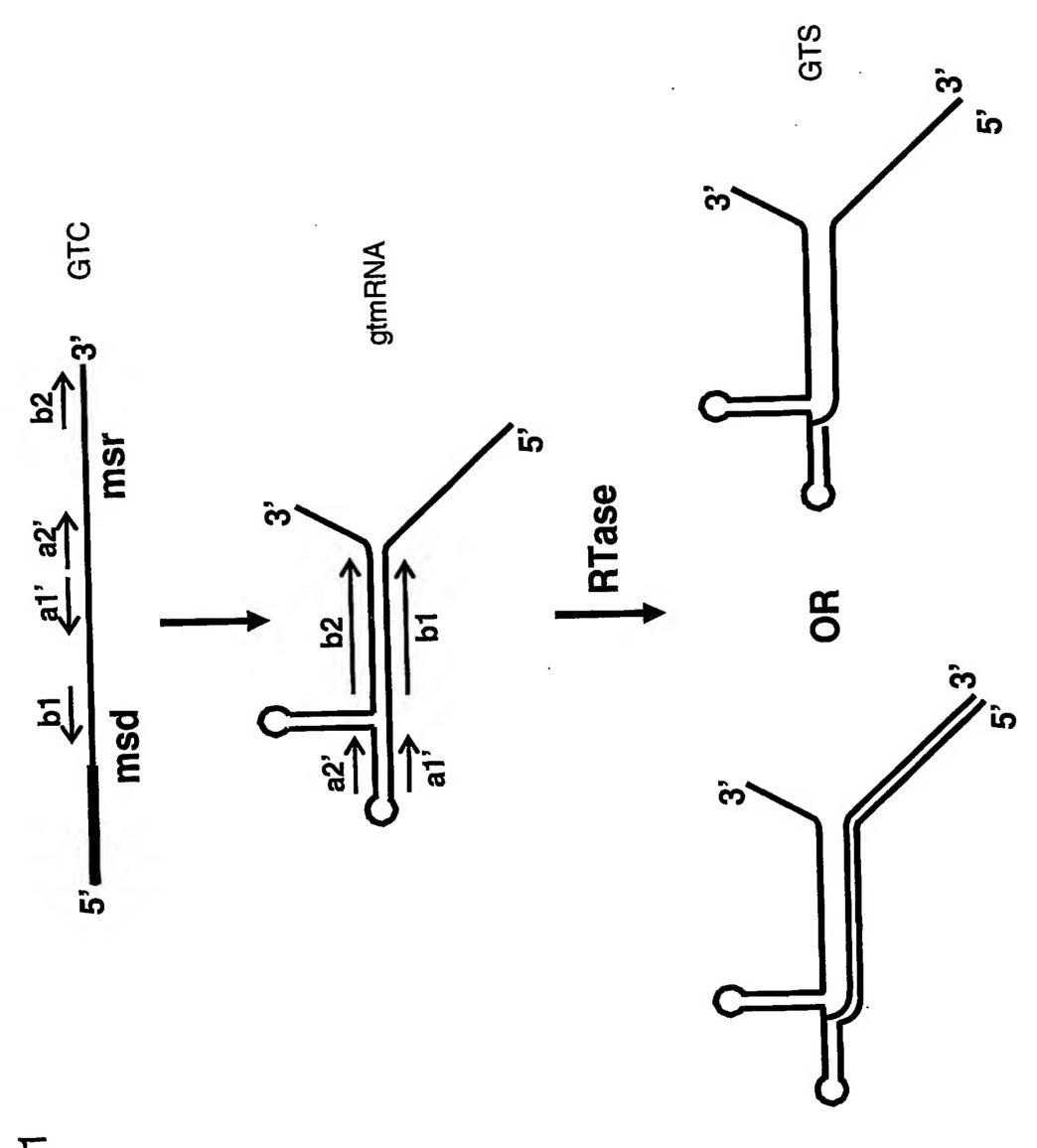
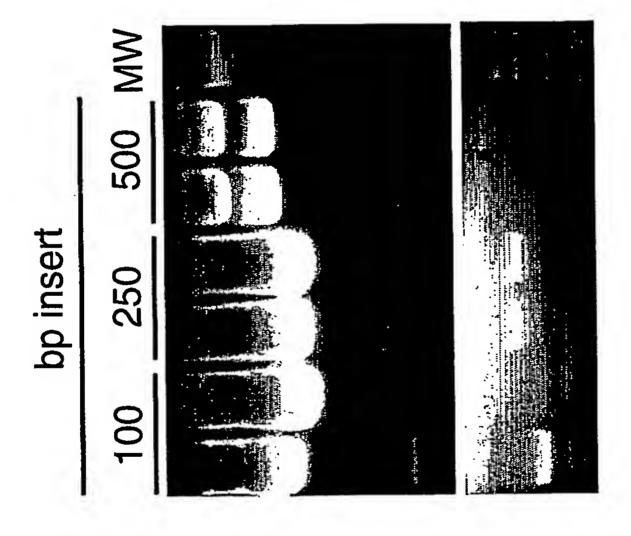
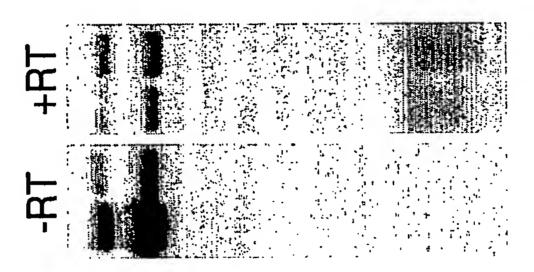
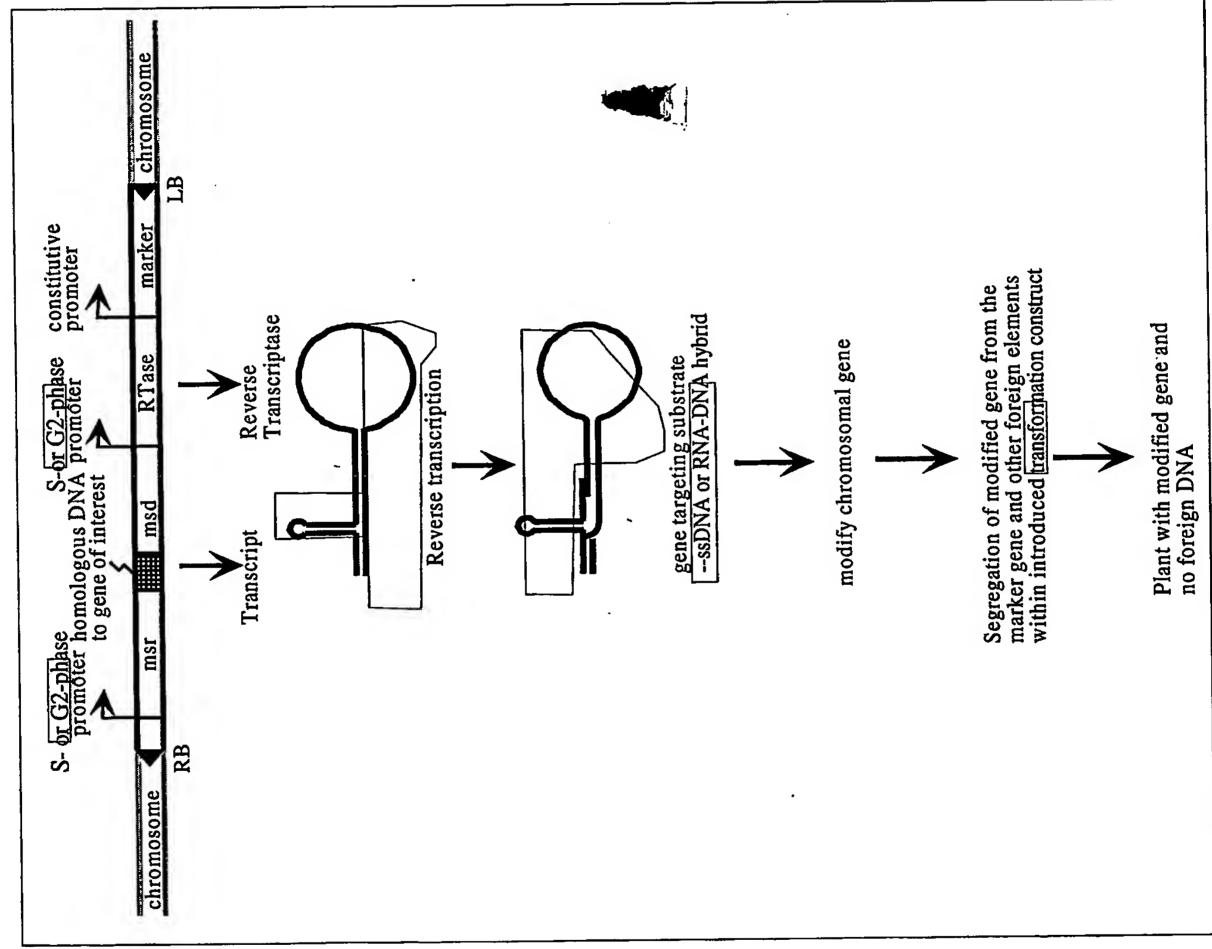


Figure 11







15/15

This Page is Inserted by IFW Indexing and Scanning Operations and is not part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

| ☐ BLACK BORDERS |
|---|
| ☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES |
| TADED TEXT OR DRAWING |
| ☐ BLURRED OR ILLEGIBLE TEXT OR DRAWING |
| ☐ SKEWED/SLANTED IMAGES |
| ☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS |
| GRAY SCALE DOCUMENTS |
| ☐ LINES OR MARKS ON ORIGINAL DOCUMENT |
| ☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY |
| □ OTHER: |

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.